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S 1059

PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS AND THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR INFLAMED TISSUE

the specification of which is attached hereto unless the following box is checked:

(X) was filed June 5, 2002 as U.S. Application Serial No. 10/163,763 or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119(a-d) or 365(b) of any foreign application(s) for patent or inventor(s) certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119

U.S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112; I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Steven J. Hultquist, Reg. No. 28021

Marianne Fuierer, Reg. No. 39983

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Inventor: Aladar Szalay

Citizenship: Hungarian

Residence: 2704 North Fork Rd, Highland, California 92346 USA

Post Office Address: Same

Inventor's Signature

Date

Name of Second Inventor: A. Douglas Will
Citizenship: U.S.A.
Residence: 4711 Minaret Rd #96, Mammoth Lakes, CA 93546
Post Office Address: ~~Same~~ P.O. Box 1855
Inventor's Signature: *D. Will* Date: 11/25/02



APRIL 15, 2003

PTAS
INTELLECTUAL PROPERTY/TECHNOLOGY LAW
STEVEN J. HULTQUIST
P.O. BOX 14329
RESEARCH TRIANGLE PARK, NC 27709

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



102313413A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/09/2002

REEL/FRAME: 013567/0280
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SZALAY, ALADAR

DOC DATE: 11/26/2002

ASSIGNEE:
GENELUX CORPORATION
3030 BUNKER HILL STREET
SUITE 310
SAN DIEGO, CALIFORNIA

SERIAL NUMBER: 10163763
PATENT NUMBER:

FILING DATE: 06/05/2002
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



RECORDATION FORM COVER SHEET
PATENTS ONLY

12-17-2002

Commissioner for Patents
Box Assignments
Washington, D.C. 20231



orney Docket No. 4121-140

1. A. Name of conveying party(ies).

Dr. Aladar SZALAY

102313413

ress of receiving party(ies):

Genelux Corporation
3030 Bunker Hill Street
Suite 310
San Diego, California
USA

B. Additional name(s) of conveying
party(ies) attached? ☐ Yes ☒ No

B. Additional name(s) & address(es) attached?
☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name

☐ Other: _____

B. Execution Date: November 26, 2002

4. A. If this document is being filed together with a new application, the execution date of the
application is: _____.

B. Patent Application No.(s)

10/163,763

C. Patent No.(s)

D. Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: Steven J. Hultquist
Address: Intellectual Property/Technology Law
P.O. Box 14329
Research Triangle Park, NC 27709

7. A. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed (Check No. 10042)

☐ Authorized to be charged to deposit account
No. 08-3284

6. Total number of applications and patents
involved: 1

8. Credit any overpayment or charge any underpayment
to Deposit Account Number 08-3284 of Intellectual
Property/Technology Law.

12/16/2002 6TON11 00000101 10163763

01 FC:802

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.*

Marianne Fuierer
Marianne Fuierer

Date: December 3, 2002

Registration No. 39,983

Total number of pages including cover sheet, attachments, and document: 3

S1059

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I,

Dr. Aladar Szalay
7327 Fairwood Lane
Highland, California 92346-6213
USA

do hereby sell, assign, convey, and set over to my assignee,

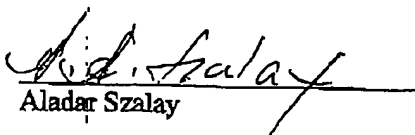
Genelux Corporation
3030 Bunker Hill St.
Suite 310
San Diego, California 92109
USA

my entire right, title and interest in and to an invention titled

**LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS AND
THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR INFLAMED
TISSUE**

and in and to the application for Letters Patent of the United States thereon, filed in the U.S. Patent and Trademark Office on June 5, 2002 having U.S. Patent Application Serial No. 10/163,763 filed under the provisions 37 C.F.R. §1.53(b), and in and to any patent or patents that may issue on said application and invention in the United States of America and foreign countries. I hereby covenant and agree on behalf of myself, my heirs, executors and legal representatives, to execute all papers and assignment documents connected with such patent or application therefor and I do hereby authorize and request the Commissioner of Patents to issue any patent(s) maturing on said United States patent application to my assignee as the beneficial owner thereof.

IN WITNESS WHEREOF, I have hereunto set out hand and seal this 26th day of November, 2002.


Aladar Szalay



APRIL 15, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

STEVEN J. HULTQUIST
INTELLECTUAL PROPERTY/TECHNOLOGY LAW
P.O. BOX 14329
RESEARCH TRIANGLE PARK, NC 27709



102313412A

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RECORDATION DATE: 12/09/2002

REEL/FRAME: 013567/0033
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILL, A. DOUGLAS

DOC DATE: 11/26/2002

ASSIGNEE:

GENELUX CORPORATION
3030 BUNKER HILL STREET
SUITE 310
SAN DIEGO, CALIFORNIA

SERIAL NUMBER: 10163763

PATENT NUMBER:

FILING DATE: 06/05/2002

ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



RECORDATION FORM COVER SHEET

12-17-2002

Commissioner for Patents
Assignments
Washington, D.C. 20231

102313412

Attorney Docket No. 4121-140

1. A. Name of conveying party(ies): A. Douglas WILL 12-9-02 B. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. A. Name and address of receiving party(ies): Genelux Corporation 3030 Bunker Hill Street Suite 310 San Diego, California USA B. Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. A. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ B. Execution Date: <u>November 26, 2002</u>			
4. A. If this document is being filed together with a new application, the execution date of the application is: _____. B. Patent Application No.(s) 10/163,763 C. Patent No.(s) D. Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Steven J. Hultquist Address: Intellectual Property/Technology Law P.O. Box 14329 Research Triangle Park, NC 27709		7. A. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed (Check No. 10041) <input type="checkbox"/> Authorized to be charged to deposit account No. <u>08-3284</u>	
6. Total number of applications and patents involved: <u>1</u>		8. Credit any overpayment or charge any underpayment to Deposit Account Number 08-3284 of Intellectual Property/Technology Law.	
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Marianne Fuierer Registration No. 39,983 Date: <u>December 3, 2002</u> Total number of pages including cover sheet, attachments, and document: 3			

12/16/2002 GTON11 00000100 10163763

01 FC:8021

40.00 DP

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51059

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I,

A. Douglas Will
4711 Minaret Rd
Snowcreek 96
Mammoth Lakes, CA 93546
USA

do hereby sell, assign, convey, and set over to my assignee,

Genelux Corporation
3030 Bunker Hill St.
Suite 310
San Diego, CA 92109
USA

my entire right, title and interest in and to an invention titled

**LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS AND
THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR INFLAMED
TISSUE**

and in and to the application for Letters Patent of the United States thereon, filed in the U.S. Patent and Trademark Office on June 5, 2002 having U.S. Patent Application Serial No. 10/163,763 filed under the provisions 37 C.F.R. §1.53(b), and in and to any patent or patents that may issue on said application and invention in the United States of America and foreign countries. I hereby covenant and agree on behalf of myself, my heirs, executors and legal representatives, to execute all papers and assignment documents connected with such patent or application therefor and I do hereby authorize and request the Commissioner of Patents to issue any patent(s) maturing on said United States patent application to my assignee as the beneficial owner thereof.

IN WITNESS WHEREOF, I have hereunto set out hand and seal this 26th day of November, 2002.



A. Douglas Will

Attorney's Docket No.: 17248-004001 (4804)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aladar A. Szalay
Serial No. : 10/163,763
Filed : June 5, 2002
Title : LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS AND
THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR INFLAMED
TISSUE

Art Unit : 1632
Examiner : Robert M. Kelly

POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Revoking any and all powers of attorney and authorizations of agent heretofore made in respect of the above-captioned patent application, the Assignee of the entire interest in this patent application hereby appoints the following as its attorneys, with full power of substitution and revocation, to prosecute the application and to transact all business in the Patent and Trademark Office connected therewith:

STEPHANIE L. SEIDMAN, Registration No. 33,779; DALE L. RIEGER, Registration No. 43,045; FRED C. HERNANDEZ, Registration No. 41,832; and SCOTT C. HARRIS, Registration No. 32,030. Direct all telephonic inquiries to Stephanie Seidman at telephone no. (858) 678-5070.

Address all correspondence to:

Stephanie L. Seidman
Fish & Richardson, P.C.
12390 El Camino Real
San Diego, California 92130-2081
Telephone No. (858) 678-5070
Facsimile: (202) 626-7796
E-mail: seidman@fr.com

GENELUX CORPORATION

Date: May 7, 2004

Name

Title

A. A. Szalay
President and CEO

Attorney's Docket No.: 17248-004001 (4804)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aladar A. Szalay

Serial No. : 10/163,763

Filed : June 5, 2002

Title : LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS AND
THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR INFLAMED
TISSUE

Art Unit : 1632

Examiner : Robert M. Kelly

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

GENELUX CORPORATION, with a current business address of 3030 Bunker Hill Street, Suite 310, San Diego, California 92109, U.S.A., is the Assignee of the entire right, title and interest in the above-captioned patent application by virtue of an Assignment from the inventors. A copy of the Assignment from the inventors to GENELUX CORPORATION is recorded at Reel/Frame 013567/0033.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

GENELUX CORPORATION

Date: May 7/2004

Name

Title